

# Index of Reporting Requirements

Inspector General Act of 1978  
(as amended)

	Page
Section 4 (a) (2): Review of legislation and regulations .....	1-4
Section 5 (a) (1): Significant problems, abuses and deficiencies .....	5-31
Section 5 (a) (2): Recommendations regarding significant problems, abuses and deficiencies .....	18-23, 28, 30-31
Section 5 (a) (3): Recommendations described in previous semiannual reports on which corrective action has not been completed .....	41
Section 5 (a) (4): Matters referred to prosecutive authorities .....	34-37
Section 5 (a) (5): Summary of instances where information was refused during this reporting period .....	No Activity
Section 5 (a) (6): Listing of audit reports issued during this reporting period .....	42-47
Section 5 (a) (7): Summary of particularly significant reports .....	7-16, 19-23, 27-31
Section 5 (a) (8): Audit reports containing questioned costs .....	42-45
Section 5 (a) (9): Audit reports containing recommendations for better use of funds .....	No Activity
Section 5 (a) (10): Summary of unresolved audit reports issued prior to the beginning of this reporting period .....	41
Section 5 (a) (11): Significant revised management decisions during this reporting period .....	No Activity
Section 5 (a) (12): Significant management decisions with which OIG disagreed during this reporting period .....	No Activity

## OIG Semiannual Report

### Appendix I: Final Reports Issued With Questioned Costs April 1, 2001 to September 30, 2001

	Subject	Number of Reports	Questioned Costs <sup>1</sup>	Unsupported Costs <sup>1</sup>
A.	Reports for which no management decision had been made by the beginning of the reporting period	31	\$217,565,835	\$872,806
B.	Reports issued during the reporting period with findings	24	65,309,332	0
	Subtotals (A+B)	55	282,875,167	872,806
C.	Reports for which a management decision was made during the reporting period:	31	213,948,023	872,806
	1. Disallowed costs <sup>2</sup>		174,242,861	872,806
	2. Costs not disallowed		39,705,162	0
D.	Reports for which no management decision has been made by the end of the reporting period	24	68,927,144	0
	Reports for which no management decision has been made within 6 months of issuance	3	8,106,692 <sup>3</sup>	0

<sup>1</sup> Questioned costs represent recommendations for recovery of funds resulting from OIG audits. Unsupported costs are included in questioned costs.

<sup>2</sup> Does not include \$6,493,113 in investment income assessed and coordination of benefits disallowed by the program office in excess of questioned costs.

<sup>3</sup> Resolution of this item has been postponed at the request of the OIG.

### Appendix II: Final Reports Issued With Recommendations for Better Use of Funds April 1, 2001 to September 30, 2001

Subject	Number of Reports	Dollar Value
No activity during this reporting period	0	\$ 0

### Appendix III-A: Insurance Audit Reports Issued April 1, 2001 to September 30, 2001

Report Number	Subject (Standard Audits)	Issue Date	Questioned Costs	Unsupported Costs
1C-V2-00-00-021	NYLCare Health Plans of the Southwest, Inc., in Dallas, Texas	April 2, 2001	\$ 2,214,292	\$
1C-L4-00-01-016	HMO Health of Ohio in Cleveland, Ohio	April 13, 2001		
1A-10-47-01-006	Blue Cross and Blue Shield United of Wisconsin in Milwaukee, Wisconsin	April 26, 2001	380,015	
1A-10-88-01-021	Blue Cross of Northeastern Pennsylvania in Wilkes-Barre, Pennsylvania	May 7, 2001	545,677	
62-00-98-052	Kaiser Foundation Health Plan, Inc., California Division in Pasadena, California	May 8, 2001	30,755,852	
1C-17-00-01-004	Rush Prudential HMO in Chicago, Illinois	May 11, 2001	1,024,070	
1A-10-59-01-022	Anthem Blue Cross and Blue Shield of Maine in South Portland, Maine	May 14, 2001	225,725	
1C-SG-00-01-048	Capital District Physicians' Health Plan, Inc., in Albany, New York	June 15, 2001		
1A-10-24-01-031	Blue Cross and Blue Shield of South Carolina in Columbia, South Carolina	June 21, 2001	411,957	
1C-GV-00-01-042	Preferred Care in Rochester, New York	June 28, 2001		
1C-VC-00-01-008	United HealthCare of Ohio, Inc., in Minneapolis, Minnesota	July 10, 2001	1,032,988	
1A-10-03-01-027	Blue Cross Blue Shield of New Mexico in Albuquerque, New Mexico	July 25, 2001	818,857	
1C-3U-00-01-012	United HealthCare of Ohio, Inc., in Minneapolis, Minnesota	July 26, 2001	1,845,035	
1C-K6-00-01-033	SelectCare in Troy, Michigan	August 16, 2001		
1C-VR-00-00-072	Group Health Cooperative of Puget Sound (formerly Group Health Northwest) in Spokane, Washington	August 16, 2001		
1C-JH-00-01-017	Humana Medical Plan of the Tampa Area in Louisville, Kentucky	August 20, 2001	890,695	
1C-Q1-00-00-071	Lovelace Health Plan in Santa Ana, California	August 22, 2001	19,138,348	

2001

APPENDICES

**Appendix III-A: Insurance Audit Reports Issued**  
**April 1, 2001 to September 30, 2001**

Report Number	Subject ( <i>Standard Audits</i> )	Issue Date	Questioned Costs	Unsupported Costs
1A-10-64-01-093	Blue Cross and Blue Shield of the Rochester Area in Rochester, New York	August 22, 2001		
1A-10-30-01-018	Anthem Blue Cross and Blue Shield in Denver, Colorado	August 27, 2001	1,515,898	
1C-C8-00-01-028	First Priority Health in Wilkes-Barre, Pennsylvania	August 31, 2001	713,741	
1A-10-43-01-089	Regence Blue Shield of Idaho in Lewiston, Idaho	September 19, 2001		
1C-IN-00-01-013	The M Plan in Indianapolis, Indiana	September 19, 2001	803,596	
1C-DF-00-01-015	Health Partners of Alabama in Birmingham, Alabama	September 19, 2001	480,494	
<b>TOTALS</b>			<b>\$62,797,240</b>	<b>\$</b>

### Appendix III-B: Insurance Audit Reports Issued April 1, 2001 to September 30, 2001

Report Number	Subject (Rate Reconciliation Audits)	Issue Date	Questioned Costs	Unsupported Costs
1C-EM-00-01-051	AvMed Health Plan of South Florida Proposed Rate Reconciliation	June 11, 2001	\$	\$
1C-VR-00-01-065	Group Health Cooperative of Puget Sound Proposed Rate Reconciliation	June 25, 2001		
1C-A7-00-01-063	Intergroup of Arizona Proposed Rate Reconciliation	July 3, 2001		
1C-UB-00-01-055	Prudential HealthCare HMO of Tennessee Proposed Rate Reconciliation	July 3, 2001		
1C-PX-00-01-056	Cimarron Health Plan of New Mexico Proposed Rate Reconciliation	July 3, 2001		
1C-KF-00-01-061	BlueCare Network of West Michigan Proposed Rate Reconciliation	July 10, 2001	155,865	
1C-KA-00-01-083	OmniCare Health Plan of Michigan Proposed Rate Reconciliation	July 10, 2001		
1C-GA-00-01-066	MVP Health Plan of New York Proposed Rate Reconciliation	July 17, 2001		
1C-LX-00-01-084	BlueCare Network of Michigan Proposed Rate Reconciliation	July 19, 2001		
1C-W3-00-01-069	CIGNA HealthCare of Richmond, Virginia Proposed Rate Reconciliation	July 30, 2001	352,284	
1C-7Z-00-01-073	PacifiCare of Oregon Proposed Rate Reconciliation	July 30, 2001		
1C-Q8-00-01-058	Univera HealthCare of New York of Western New York Proposed Rate Reconciliation	July 30, 2001	66,277	
1C-K9-00-01-072	PacifiCare of Nevada Proposed Rate Reconciliation	July 30, 2001	267,505	
1C-D2-00-01-057	Humana Health Plan of Kentucky Proposed Rate Reconciliation	July 30, 2001		
1C-MM-00-01-071	Group Health Plan of Missouri Proposed Rate Reconciliation	July 31, 2001		

2001

APPENDICES

**Appendix III-B: Insurance Audit Reports Issued**  
**April 1, 2001 to September 30, 2001**

Report Number	Subject ( <i>Rate Reconciliation Audits</i> )	Issue Date	Questioned Costs	Unsupported Costs
1C-S4-00-01-067	Keystone Health Plan Central of Central Pennsylvania Proposed Rate Reconciliation	July 31, 2001	117,821	
1C-W2-00-01-070	CIGNA HealthCare of Hampton Roads, Virginia Proposed Rate Reconciliation	July 31, 2001	545,426	
1C-QA-00-01-059	Independent Health Association of New York Proposed Rate Reconciliation	July 31, 2001	830,538	
1C-64-00-01-064	Kaiser Foundation Health Plan of Ohio Proposed Rate Reconciliation	August 3, 2001	176,376	
1C-E3-00-01-062	Kaiser Foundation Health Plan of the Mid-Atlantic States Proposed Rate Reconciliation	August 9, 2001		/
1C-JN-00-01-090	Aetna U.S. HealthCare of the Capital Region Proposed Rate Reconciliation	August 13, 2001		
<b>TOTALS</b>			<b>\$2,512,092</b>	<b>\$</b>

## APPENDICES

October

#### Appendix IV: Internal Audit Reports Issued April 1, 2001 to September 30, 2001

Report Number	Subject	Issue Date	Funds Put to Better Use	Questioned Costs
4A-OP-00-01-023	<i>Internal Controls of the Office of Personnel Management's FY 2000 Performance Results</i>	<i>June 19, 2001</i>	\$	\$
4A-CF-00-01-104	<i>OMB Bulletin 01-02: Agreed-Upon Benefit Withholdings and Contributions Payroll Procedures</i>	<i>September 21, 2001</i>		
<b>TOTALS</b>			\$	\$

#### Appendix V: Information Systems Audit Reports Issued April 1, 2001 to September 30, 2001

Report Number	Subject	Issue Date	Funds Put to Better Use	Questioned Costs
4A-CI-00-01-038	<i>OPM Internet Privacy Review</i>	<i>May 9, 2001</i>	\$	\$
1A-10-92-00-028	<i>Information System General Controls at the BlueCross BlueShield Association Federal Employee Program Operations Center</i>	<i>July 30, 2001</i>		
4A-CI-00-01-088	<i>Government Information Security Reform Act Review for FY 2001</i>	<i>August 31, 2001</i>		
<b>TOTALS</b>			\$	\$



2001

APPENDICES

**Appendix VI: Combined Federal Campaign Audit Reports Issued  
April 1, 2001 to September 30, 2001**

Report Number	Subject	Issue Date	Funds Put to Better Use	Questioned Costs
3A-CF-00-01-034	<i>The 1998 and 1999 Combined Federal Campaigns of the Philadelphia Area in Philadelphia, Pennsylvania</i>	April 4, 2001	\$	\$
3A-CF-00-01-040	<i>The 1998 and 1999 Combined Federal Campaigns of Tarrant, Denton and Johnson Counties in Fort Worth, Texas</i>	May 7, 2001		
3A-CF-00-01-039	<i>The 1998 and 1999 Combined Federal Campaigns of Metropolitan Dallas in Dallas, Texas</i>	May 29, 2001		
3A-CF-00-01-041	<i>The 1998 and 1999 Combined Federal Campaigns of Greater Fort Hood in Killeen, Texas</i>	May 31, 2001		
3A-CF-00-01-036	<i>The 1998 and 1999 Combined Federal Campaigns for the National Black United Federation of Charities in Newark, New Jersey</i>	July 25, 2001		
3A-CF-00-01-037	<i>The 1998 and 1999 Combined Federal Campaigns of Long Island, New York in Deer Park, New York</i>	July 26, 2001		
3A-CF-00-01-081	<i>The 1998 and 1999 Combined Federal Campaigns of Los Angeles in Los Angeles, California</i>	August 8, 2001		
3A-CF-00-01-054	<i>The 1998 and 1999 Combined Federal Campaigns of Central New Mexico in Albuquerque, New Mexico</i>	August 16, 2001		
3A-CF-00-01-053	<i>The 1998 and 1999 Combined Federal Campaigns of Tucson and Southern Arizona in Tucson, Arizona</i>	August 16, 2001		
3A-CF-00-01-082	<i>The 1998 and 1999 Combined Federal Campaigns of the Bay Area in San Francisco, California</i>	August 31, 2001		
3A-CF-00-01-052	<i>The 1998 and 1999 Combined Federal Campaigns of El Paso County in El Paso, Texas</i>	September 24, 2001		
<b>TOTALS</b>			\$	\$





Federal Recycling Program  
Printed on Recycled Paper

---

---

OIG HOTLINE

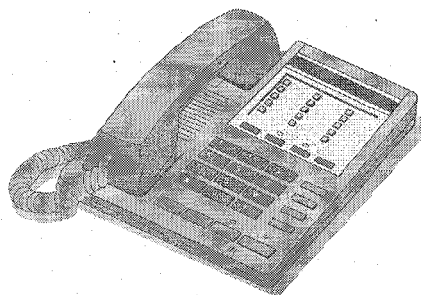
---

---



United States  
Office of  
Personnel  
Management

Office of the  
Inspector  
General



## Report Fraud, Waste or Abuse to the Inspector General

The Director of the Office of Personnel Management and the Inspector General need your help to assure the integrity of OPM's programs.

Please Call the *HOTLINE*:

**202-606-2423**

- Caller can remain anonymous
- Information is confidential

*You may also visit or write:*

Office of the Inspector General  
U.S. Office of Personnel Management  
1900 E Street, NW.  
Room 6400  
Washington, DC 20415-1100

---